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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/500,069	06/25/2004	Kwang-Soo Choi	1728.03	9148

29338 7590 03/30/2006

PARK LAW FIRM  
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SUITE 1110  
LOS ANGELES, CA 90010

EXAMINER

CLARDY, S

ART UNIT	PAPER NUMBER
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1617

DATE MAILED: 03/30/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/500,069	<b>Applicant(s)</b> CHOI ET AL.	
	<b>Examiner</b> S. Mark Clardy	<b>Art Unit</b> 1617	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 09 January 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,2,4 and 7-10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,4 and 7-10 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

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Claims 1, 2, 4, and 7-10 are pending in this application which has been filed under 35 USC 371 as the national stage of international application.

Applicants claims are drawn to compositions comprising colloidal (claim 2) titanium dioxide nanoparticles (which are useful for promoting plant growth), with a particle size ranging from 3 to 200 nm, in a concentration of 1 to 1000 ppm (= 1 mg/L to 1 g/L, or 0.0001 to 0.1%). The compositions may further comprise known plant nutrients (claims 8, 10) or silver nanoparticles (claim 9). No methods are claimed.

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claim 1, 2, 4, and 7 are again rejected under 35 U.S.C. 102(a) and (e) as being anticipated by Aubay et al (US 6,905,814). Aubay et al teach film-forming titanium dioxide dispersions for cleaning and disinfecting surfaces comprising TiO<sub>2</sub> nanoparticles which are dispersed in water (abstract) along with pH regulating acids (col 2, lines 36-47), and surfactants (line 53+). The concentration of TiO<sub>2</sub> ranges from 0.01% (or 100 ppm) to 15%. In the examples (col 7-8), dispersions comprising anatase TiO<sub>2</sub> in the form of 40 nm particles are disclosed. Aubay et al is nonanalogous art; however, end-utility is irrelevant when the compositions described in Aubay et al read on the compositions as claimed herein.

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Claim 1 is again rejected under 35 U.S.C. 102(a) and (e) as being anticipated by Soane et al (US Publication 2003/0013369). Soane et al discloses cosmetic sunblock compositions comprising dispersed TiO<sub>2</sub> nanoparticles. Particles with a diameter of less than 30 nm are described as being transparent, while those of 30-35 nm are cloudy in dispersions (para 122). Again, the end-utility is irrelevant for a composition claim.

Claim 1 is again rejected under 35 U.S.C. 102(a), (b), and (e) as being anticipated by Marchi-Lemann et al (US 6,132,745). Marchi-Lemann et al teach cosmetic compositions comprising pigment nanoparticles and fillers (abstract), and discloses that TiO<sub>2</sub> nanoparticles were known in cosmetic dispersions (col 1, lines 11-26). The size of the pigment particles ranges from 0.01 to 0.15  $\mu$  (= 10 to 150 nm). Again, end utility is irrelevant.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, 4, and 7-10 are again rejected under 35 U.S.C. 103(a) as being unpatentable over Glenn et al (US 6,235,683). Glenn et al teach frost protecting compositions for application to plants comprising particulate material with a particle size of about 100  $\mu$ m or less, thus encompassing applicant's range. Titanium dioxide is disclosed as one of the possible particulate components (columns 4-5). Various solvents, surfactants, and dispersants may be incorporated into the compositions (column 8) to form a slurry.

Thus, again, it would have been *prima facie* obvious to the ordinary artisan at the time the invention was made to have made applicants' nanoparticulate TiO<sub>2</sub> composition because the

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prior art teaches that slurries of such particulate materials are useful for application to plants. The addition of further plant growth enhancing agents could have been *prima facie* obvious to the ordinary artisan. Determination of composition parameters such as concentration and pH is likewise within the skill level of the ordinary artisan.

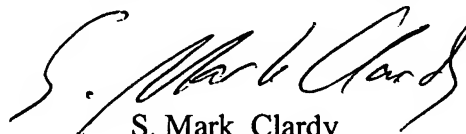
No unobvious or unexpected results are noted; no claim is allowed.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to S. Mark Clardy whose telephone number is 571-272-0611. The examiner can normally be reached on 7:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreenivasan Padmanabhan can be reached on 571-272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



S. Mark Clardy  
Primary Examiner  
Art Unit 1617

March 27, 2006